

## Chapter 18

### Regulation of Gene Expression

#### Overview: Conducting the Genetic Orchestra

- Both prokaryotes and eukaryotes alter their patterns of gene expression in response to changes in environmental conditions.
- Multicellular eukaryotes also develop and maintain multiple cell types.
  - Each cell type contains the same genome but expresses a different subset of genes.
  - During development, gene expression must be carefully regulated to ensure that the right genes are expressed only at the correct time and in the correct place.
- Gene expression in eukaryotes and bacteria is often regulated at the transcription stage.
  - Control of other levels of gene expression is also important.
- RNA molecules play many roles in regulating eukaryotic gene expressions.
- Disruptions in gene regulation may lead to cancer.

#### Concept 18.1 Bacteria often respond to environmental change by regulating transcription

- Natural selection favors bacteria that express only those genes whose products are needed by the cell.
  - A bacterium in a tryptophan-rich environment that stops producing tryptophan conserves its resources.
- Metabolic control occurs on two levels.
- First, cells can adjust the activity of enzymes already present.
  - This may happen by *feedback inhibition*, in which the activity of the first enzyme in a pathway is inhibited by the pathway's end product.
  - Feedback inhibition, typical of anabolic (biosynthetic) pathways, allows a cell to adapt to short-term fluctuations in the supply of a needed substance.
- Second, cells can vary the number of specific enzyme molecules they make by regulating gene expression.
  - The control of enzyme production occurs at the level of transcription, the synthesis of messenger RNA coding for these enzymes.
  - Genes of the bacterial genome may be switched on or off by changes in the metabolic status of the cell.

- The basic mechanism for the control of gene expression in bacteria, known as the *operon model*, was described by Francois Jacob and Jacques Monod in 1961.

***The operon model controls tryptophan synthesis.***

- *Escherichia coli* synthesizes tryptophan from a precursor molecule in a series of steps, with each reaction catalyzed by a specific enzyme.
- The five genes coding for the subunits of these enzymes are clustered together on the bacterial chromosome as a transcription unit, served by a single promoter.
- Transcription gives rise to one long mRNA molecule that codes for all five polypeptides in the tryptophan pathway.
- The mRNA is punctuated with start and stop codons that signal where the coding sequence for each polypeptide begins and ends.
- A key advantage of grouping genes with related functions into one transcription unit is that a single on-off switch can control a cluster of functionally related genes.
  - In other words, these genes are *coordinately controlled*.
- When an *E. coli* cell must make tryptophan for itself, all the enzymes are synthesized at one time.
- The switch is a segment of DNA called an **operator**.
- The operator, located within the promoter or between the promoter and the enzyme-coding genes, controls the access of RNA polymerase to the genes.
- The operator, the promoter, and the genes they control constitute an **operon**.
  - The *trp* operon (*trp* for tryptophan) is one of many operons in the *E. coli* genome.
- By itself, an operon is turned on: RNA polymerase can bind to the promoter and transcribe the genes of the operon.
- The operon can be switched off by a protein called the ***trp* repressor**.
  - The repressor binds to the operator, blocks attachment of RNA polymerase to the promoter, and prevents transcription of the operon's genes.
- Each repressor protein recognizes and binds only to the operator of a particular operon.
- The *trp* repressor is the protein product of a **regulatory gene** called *trpR*, which is located at some distance from the operon it controls and has its own promoter.
- Regulatory genes are transcribed continuously at slow rates, and a few *trp* repressor molecules are always present in an *E. coli* cell.
- Why is the *trp* operon not switched off permanently?
- First, binding by the repressor to the operator is reversible.
  - An operator vacillates between two states, with and without a repressor bound to it.
  - The relative duration of each state depends on the number of active repressor molecules around.
- Second, repressors contain allosteric sites that change shape depending on the binding of other molecules.
  - The *trp* repressor has two shapes: active and inactive.
  - The *trp* repressor is synthesized in an inactive form with little affinity for the *trp* operator.

- Only if tryptophan binds to the *trp* repressor at an allosteric site does the repressor protein change to the active form that can attach to the operator, turning the operon off.
- Tryptophan functions in the *trp* operon as a **corepressor**, a small molecule that cooperates with a repressor protein to switch an operon off.
- When concentrations of tryptophan in the cell are high, more tryptophan molecules bind with *trp* repressor molecules, activating them.
  - The active repressors bind to the *trp* operator and turn the operon off.
- At low levels of tryptophan, most of the repressors are inactive, and transcription of the operon's genes resumes.

***There are two types of operons: repressible and inducible.***

- The *trp* operon is an example of a *repressible operon*, one that is inhibited when a specific small molecule (tryptophan) binds allosterically to a regulatory protein.
- In contrast, an *inducible operon* is stimulated (induced) when a specific small molecule interacts with a regulatory protein.
- The classic example of an inducible operon is the *lac* operon (*lac* for lactose).
- Lactose (milk sugar) is available to *E. coli* in the human colon if the host drinks milk.
  - Lactose metabolism begins with hydrolysis of lactose into its component monosaccharides, glucose and galactose.
  - This reaction is catalyzed by the enzyme  $\beta$ -galactosidase.
- Only a few molecules of  $\beta$ -galactosidase are present in an *E. coli* cell grown in the absence of lactose.
  - If lactose is added to the bacterium's environment, the number of  $\beta$ -galactosidase molecules increases by a thousandfold within 15 minutes.
- The gene for  $\beta$ -galactosidase is part of the *lac* operon, which includes two other genes coding for enzymes that function in lactose metabolism.
- The regulatory gene, *lacI*, located outside the operon, codes for an allosteric repressor protein that can switch off the *lac* operon by binding to the operator.
- Unlike the *trp* operon, the *lac* repressor is active all by itself, binding to the operator and switching the *lac* operon off.
  - An **inducer** *inactivates* the repressor.
  - When lactose is present in the cell, allolactose, an isomer of lactose, binds to the repressor.
  - This inactivates the repressor, and the *lac* operon can be transcribed.
- *Repressible enzymes* generally function in anabolic pathways, synthesizing end products from raw materials.
  - When the end product is present in sufficient quantities, the cell can allocate its resources to other uses.
- *Inducible enzymes* usually function in catabolic pathways, digesting nutrients to simpler molecules.
  - By producing the appropriate enzymes only when the nutrient is available, the cell avoids making proteins that are not needed.

- Both repressible and inducible operons demonstrate *negative* control of genes because active repressors switch off the active form of the repressor protein.
  - It may be easier to see this for the *trp* operon, but it is also true for the *lac* operon.
  - Allolactose induces enzyme synthesis not by acting directly on the genome, but by freeing the *lac* operon from the negative effect of the repressor.

***Some gene regulation is positive.***

- *Positive* gene control occurs when a protein molecule interacts directly with the genome to switch transcription on.
- The *lac* operon is an example of positive gene regulation.
- When glucose and lactose are both present, *E. coli* preferentially uses glucose.
  - The enzymes for glucose breakdown in glycolysis are always present in the cell.
- Only when lactose is present *and* glucose is in short supply does *E. coli* use lactose as an energy source and synthesize the enzymes for lactose breakdown.
- When glucose levels are low, **cyclic AMP (cAMP)** accumulates in the cell.
- The regulatory protein *catabolite activator protein (CAP)* is an **activator** of transcription.
- When cAMP is abundant, it binds to CAP, and the regulatory protein assumes its active shape and can bind to a specific site at the upstream end of the *lac* promoter.
  - The attachment of CAP to the promoter increases the affinity of RNA polymerase for the promoter, directly increasing the rate of transcription.
  - Thus, this mechanism qualifies as positive regulation.
- If glucose levels in the cell rise, cAMP levels fall.
  - Without cAMP, CAP detaches from the operon and *lac* operon is transcribed only at a low level.
- The *lac* operon is under dual control: negative control by the *lac* repressor and positive control by CAP.
  - The state of the *lac* repressor (with or without bound allolactose) determines whether or not the *lac* operon's genes are transcribed.
  - The state of CAP (with or without bound cAMP) controls the *rate* of transcription if the operon is repressor-free.
  - The operon has both an on-off switch and a volume control.
- CAP works on several operons that encode enzymes used in catabolic pathways. It affects the expression of more than 100 *E. coli* genes.
  - If glucose is present and CAP is inactive, then the synthesis of enzymes that catabolize other compounds is slowed.
  - If glucose levels are low and CAP is active, then the genes that produce enzymes that catabolize whichever other fuel is present are transcribed at high levels.

**Concept 18.2 Eukaryotic gene expression is regulated at many stages**

- Like unicellular organisms, the tens of thousands of genes in the cells of multicellular eukaryotes turn on and off in response to signals from their internal and external environments.
- Gene expression must be controlled on a long-term basis during cellular differentiation.

***Differential gene expression is the expression of different genes by cells with the same genome.***

- A typical human cell probably expresses about 20% of its genes at any given time.
  - Highly specialized cells, such as nerves or muscles, express a tiny fraction of their genes.
  - Although all the cells in an organism contain an identical genome, the subset of genes expressed in the cells of each type is unique.
- The differences between cell types are due to **differential gene expression**, the expression of different genes by cells with the same genome.
- The function of any cell, whether a single-celled eukaryote or a particular cell type in a multicellular organism, depends on the appropriate set of genes being expressed.
  - Problems with gene expression and control can lead to imbalance and disease, including cancer.
- Our understanding of the mechanisms that control gene expression in eukaryotes has been enhanced by new research methods, including advances in DNA technology.
- In all organisms, a common control point for gene expression is at transcription, often in response to signals coming from outside the cell.
  - For this reason, the term *gene expression* is often equated with transcription.
- With their greater complexity, eukaryotes have opportunities for controlling gene expression at additional stages.

***Chromatin modifications affect the availability of genes for transcription.***

- The DNA of eukaryotic cells is packaged with proteins in a complex called chromatin.
  - The basic unit of chromatin is the nucleosome.
- The location of a gene's promoter relative to nucleosomes and to the sites where the DNA attaches to the chromosome scaffold or nuclear lamina affect whether the gene is transcribed.
- Genes of densely condensed heterochromatin are usually not expressed.
- Chemical modifications of the histone proteins and DNA of chromatin play a key role in chromatin structure and gene expression.
- The N-terminus of each histone molecule in a nucleosome protrudes outward from the nucleosome.
  - These histone tails are accessible to various modifying enzymes, which catalyze the addition or removal of specific chemical groups.
- **Histone acetylation** (addition of an acetyl group, —COCH<sub>3</sub>) and deacetylation of lysines in histone tails appear to play a direct role in the regulation of gene transcription.
- Acetylation of lysines neutralizes their positive charges and reduces the binding of histone tails to neighboring nucleosomes, easing access for transcription proteins.
  - Some of the enzymes responsible for acetylation or deacetylation are associated with or are components of transcription factors that bind to promoters.

- Thus, histone acetylation enzymes may promote the initiation of transcription not only by modifying chromatin structure but also by binding to and recruiting components of the transcription machinery.
- Other chemical groups, such as methyl and phosphate groups, can be reversibly attached to amino acids in histone tails.
  - The attachment of methyl groups ( $-\text{CH}_3$ ) to histone tails leads to condensation of chromatin.
  - The addition of a phosphate group (phosphorylation) to an amino acid next to a methylated amino acid has the opposite effect.
- The recent discovery that modifications to histone tails can affect chromatin structure and gene expression has led to the *histone code hypothesis*.
  - This hypothesis proposes that specific combinations of modifications, as well as the order in which they have occurred, determine chromatin configuration.
  - Chromatin configuration in turn influences transcription.

#### ***DNA methylation reduces gene expression.***

- While some enzymes methylate the tails of histone proteins, other enzymes methylate certain bases in DNA itself, usually cytosine.
  - DNA methylation occurs in most plants, animals, and fungi.
- Inactive DNA is generally more highly methylated than actively transcribed regions.
  - For example, the inactivated mammalian X chromosome is heavily methylated.
  - Individual genes are usually more heavily methylated in cells where they are not expressed. Removal of extra methyl groups can turn on some of these genes.
- In some species, DNA methylation is responsible for the long-term inactivation of genes during cellular differentiation.
  - Deficient DNA methylation leads to abnormal embryonic development in organisms as different as mice and the plant *Arabidopsis*.
- Once methylated, genes usually stay that way through successive cell divisions in a given individual.
- Methylation enzymes recognize sites on one strand that are already methylated and correctly methylate the daughter strand after each round of DNA replication.
- This methylation pattern accounts for *genomic imprinting*, in which methylation turns off either the maternal or paternal alleles of certain mammalian genes at the start of development.
- The chromatin modifications just discussed do not alter the DNA sequence, and yet they may be passed along to future generations of cells.
- Inheritance of traits by mechanisms not directly involving the nucleotide sequence is called **epigenetic inheritance**.
- The molecular systems for chromatin modification may well interact with each other in a regulated way.
  - In *Drosophila*, experiments suggest that a particular histone-modifying enzyme recruits a DNA methylation enzyme to one region and that the two enzymes collaborate to silence a particular set of genes.

- Working in the opposite order, proteins have also been found that bind to methylated DNA and then recruit histone deacetylation enzymes.
- Thus, a dual mechanism, involving both DNA methylation and histone deacetylation, can repress transcription.
- Researchers are amassing more and more evidence for the importance of epigenetic information in the regulation of gene expression.
  - Epigenetic variations may explain why one identical twin acquires a genetically based disease, such as schizophrenia, while another does not, despite their identical genomes.
  - Alterations in normal patterns of DNA methylation are seen in some cancers, where they are associated with inappropriate gene expression.
- Enzymes that modify chromatin structure are integral parts of the cell's machinery for regulating transcription.

***Transcription initiation is controlled by proteins that interact with DNA and with each other.***

- Chromatin-modifying enzymes provide initial control of gene expression by making a region of DNA more available or less available for transcription.
- A cluster of proteins called a *transcription initiation complex* assembles on the promoter sequence at the upstream end of the gene.
  - One component, RNA polymerase II, transcribes the gene, synthesizing a primary RNA transcript or pre-mRNA.
  - RNA processing includes enzymatic addition of a 5' cap and a poly-A tail, as well as splicing out of introns to yield a mature mRNA.
- Multiple **control elements** are associated with most eukaryotic genes.
  - Control elements are noncoding DNA segments that serve as binding sites for protein transcription factors.
  - Control elements and the transcription factors they bind are critical to the precise regulation of gene expression in different cell types.
- To initiate transcription, eukaryotic RNA polymerase requires the assistance of proteins called transcription factors.
- *General transcription factors* are essential for the transcription of *all* protein-coding genes.
  - Only a few general transcription factors independently bind a DNA sequence such as the TATA box within the promoter.
  - Others are involved in protein-protein interactions, binding each other and RNA polymerase II.
- Only when the complete initiation complex has been assembled can the polymerase begin to move along the DNA template strand to produce a complementary strand of RNA.
- The interaction of general transcription factors and RNA polymerase II with a promoter usually leads to only a slow rate of initiation and the production of few RNA transcripts.
- In eukaryotes, high levels of transcription of particular genes depend on the interaction of control elements with *specific transcription factors*.
- Some control elements, named *proximal control elements*, are located close to the promoter.

- *Distal control elements*, grouped as **enhancers**, may be thousands of nucleotides away from the promoter or even downstream of the gene or within an intron.
- A given gene may have multiple enhancers, each active at a different time or in a different cell type or location in the organism.
  - Eukaryotic gene expression can be altered by the binding of specific transcription factors, either activators or repressors, to the control elements of enhancers.
- Two structural elements are common to many activator proteins: a DNA-binding domain and one or more activation domains.
  - Activation domains bind other regulatory proteins or components of the transcription machinery to facilitate transcription.
- Protein-mediated bending of DNA brings bound activators in contact with a group of *mediator proteins* that interact with proteins at the promoter.
  - These interactions help assemble and position the initiation complex on the promoter.
- Eukaryotic repressors can inhibit gene expression by blocking the binding of activators to their control elements or to components of the transcription machinery.
  - Other repressors bind directly to control-element DNA, turning off transcription even in the presence of activators.
- Some activators and repressors act indirectly to influence chromatin structure.
  - Some activators recruit proteins that acetylate histones near the promoters of specific genes, promoting transcription.
  - Some repressors recruit proteins that deacetylate histones, reducing transcription or *silencing* the gene.
- Recruitment of chromatin-modifying proteins seems to be the most common mechanism of repression in eukaryotes.

***The control of transcription in eukaryotes depends on the binding of activators to DNA control elements.***

- The number of different nucleotide sequences found in control elements is surprisingly small: about a dozen.
- On average, each enhancer is composed of about ten control elements, each of which can bind to only one or two specific transcription factors.
  - The particular *combination* of control elements in an enhancer may be more important than the presence of a unique control element in regulating transcription of the gene.
- Even with only a dozen control element sequences, a large number of combinations are possible.
- A particular combination of control elements is able to activate transcription only when the appropriate activator proteins are present, at a precise time during development or in a particular cell type.
- The use of different combinations of control elements allows fine regulation of transcription with a small set of control elements.
- In prokaryotes, coordinately controlled genes are often clustered into an operon with a single promoter and other control elements upstream.
  - The genes of the operon are transcribed into a single mRNA and translated together.

- In contrast, very few eukaryotic genes are organized this way.
- More commonly, co-expressed genes coding for the enzymes of a metabolic pathway are scattered over different chromosomes.
  - Coordinate gene expression depends on the association of a specific control element or combination of control elements with every gene of a dispersed group.
  - A common group of transcription factors binds to all the genes in the group, promoting simultaneous gene transcription.
- For example, a steroid hormone enters a cell and binds to a specific receptor protein in the cytoplasm or nucleus, forming a hormone–receptor complex that serves as a transcription activator.
  - Every gene whose transcription is stimulated by that steroid hormone has a control element recognized by that hormone–receptor complex.
- Other signal molecules control gene expression indirectly by triggering signal-transduction pathways that lead to activation of transcription.
  - The principle of coordinate regulation is the same: Genes with the same control elements are activated by the same chemical signals.
- Systems for coordinating gene regulation probably arose early in evolutionary history.
- The nucleus has a defined architecture and regulated movements of chromatin.
- Recent techniques allow researchers to cross-link and identify regions of chromosomes that associate with each other during interphase.
- Loops of chromatin extend from individual chromosomal territories into specific sites in the nucleus.
  - Different loops from the same chromosome and loops from other chromosomes congregate in such sites, some of which are rich in RNA polymerases and other transcription-associated proteins.
  - These sites are likely areas specialized for a common function or *transcription factories*.

***Post-transcriptional mechanisms play supporting roles in the control of gene expression.***

- Regulatory mechanisms that operate after transcription allow a cell to rapidly fine-tune gene expression in response to environmental changes, without altering its transcriptional patterns.
  - RNA processing in the nucleus and the export of mRNA to the cytoplasm provide opportunities for gene regulation that are not available in prokaryotes.
- In **alternative RNA splicing**, different mRNA molecules are produced from the same primary transcript, depending on which RNA segments are treated as exons and which as introns.
  - Regulatory proteins specific to a cell type control intron-exon choices by binding to regulatory sequences within the primary transcript.
- Alternative RNA splicing significantly expands the repertoire of a set of genes.
  - It may explain the surprisingly low number of human genes: similar to those of a soil worm, a mustard plant, or a sea anemone.
  - Between 75% and 100% of human genes that have multiple exons probably undergo alternative splicing.

- The extent of alternative splicing increases the number of possible human proteins, likely correlated with complexity of form.
- The life span of an mRNA molecule is an important factor in determining the pattern of protein synthesis.
  - Prokaryotic mRNA molecules are typically degraded after only a few minutes, while eukaryotic mRNAs typically last for hours, days, or weeks.
  - In red blood cells, mRNAs for hemoglobin polypeptides are unusually stable and are translated repeatedly.
- Nucleotide sequences in the untranslated trailer region (UTR) at the 3' end affect mRNA stability.
  - Transferring such a sequence from a short-lived mRNA to a normally stable mRNA results in quick mRNA degradation.

***Translation presents an opportunity for the regulation of gene expression.***

- The initiation of translation of an mRNA can be blocked by regulatory proteins that bind to specific sequences within the 5' or 3' UTR of the mRNA, preventing ribosome attachment.
- The mRNAs present in the eggs of many organisms lack poly-A tails of sufficient length to allow initiation of translation.
  - During embryonic development, a cytoplasmic enzyme adds more adenine nucleotides so that translation can begin at the appropriate time.
- Translation of *all* the mRNAs in a eukaryotic cell may be regulated simultaneously by the activation or inactivation of the protein factors required to initiate translation.
  - This mechanism starts the translation of mRNAs that are stored in eggs.
  - Just after fertilization, translation is triggered by the sudden activation of translation initiation factors, resulting in a burst of protein synthesis.
- Some plants and algae store mRNAs during periods of darkness. Light triggers the reactivation of the translational apparatus.

***The final opportunities for controlling gene expression occur after translation.***

- Often, eukaryotic polypeptides are processed to yield functional proteins.
  - For example, cleavage of pro-insulin forms the active hormone.
- Many proteins must undergo chemical modifications before they are functional.
  - Regulatory proteins may be activated or inactivated by the reversible addition of phosphate groups.
  - Proteins destined for the surface of animal cells acquire sugars.
- Regulation may occur at any of the steps involved in modifying or transporting a protein.
- The length of time a protein functions before it is degraded is strictly regulated.
  - Proteins such as the cyclins that regulate the cell cycle must be relatively short-lived.
- To mark a protein for destruction, the cell attaches a small protein called ubiquitin to it.
  - Giant protein complexes called **proteasomes** recognize and degrade the tagged proteins.

- Mutations making specific cell cycle proteins impervious to proteasome degradation can lead to cancer.
- The scientists worked out the regulated process of protein degradation won the 2004 Nobel Prize in Chemistry.

### **Concept 18.3 Noncoding RNAs play multiple roles in controlling gene expression**

- Only 1.5% of the human genome codes for proteins. Of the remainder, only a very small fraction consists of genes for ribosomal RNA and transfer RNA.
- Until recently, it was assumed that most of the rest of the DNA was untranscribed. Recent data have challenged that assumption, however.
  - Study of a region comprising 1% of the human genome found that over 90% of the region was transcribed.
  - Introns accounted for only a fraction of this transcribed, nontranslated RNA.
- A significant amount of the genome may be transcribed into non–protein-coding RNAs (or *noncoding RNAs* or *ncRNAs*), including a variety of small RNAs.
- A large, diverse population of RNA molecules may play crucial roles in regulating gene expression in the cell.

#### ***MicroRNAs can bind to complementary sequences in mRNA molecules.***

- In the past few years, researchers have found small, single-stranded RNA molecules called **microRNAs (miRNAs)** that bind to complementary sequences in mRNA molecules.
- miRNAs are formed from longer RNA precursors that fold back on themselves to form one or more short, double-stranded hairpin structures stabilized by hydrogen bonding.
- An enzyme called Dicer cuts each hairpin into a short, double-stranded fragment of about 22 nucleotide pairs.
- One of the two strands is degraded. The other strand (miRNA) associates with a protein complex and directs the complex to any mRNA molecules that have a complementary sequence of 7-8 nucleotides.
- The miRNA–protein complex either degrades the target mRNA or blocks its translation.
- Expression of up to one-half of all human genes may be regulated by miRNAs.
- The phenomenon of inhibition of gene expression by RNA molecules is called **RNA interference (RNAi)**.
- Injecting double-stranded RNA molecules into a cell somehow turns off expression of a gene with the same sequence as the RNA.
  - This RNA interference is due to **small interfering RNAs (siRNAs)**, similar in size and function to miRNAs and are generated by similar mechanisms in eukaryotic cells.
- Both miRNAs and siRNAs can associate with the same proteins, with similar results.
  - The distinction between these molecules is the nature of the precursor molecules from which they are formed.
  - Each miRNA forms from a single hairpin in the precursor RNA, while multiple siRNAs form from a longer, double-stranded RNA molecule.

- Cellular RNAi pathways lead to the destruction of RNAs and may have originated as a natural defense against infection by double-stranded RNA viruses.
  - The fact that the RNAi pathway can also affect the expression of nonviral cellular genes may reflect a different evolutionary origin for the RNAi pathway.
- Many species, including mammals, possess long, double-stranded precursors to small RNAs that interfere with various steps in gene expression.

***Small RNAs can remodel chromatin and silence transcription.***

- Small RNAs can cause remodeling of chromatin structure.
  - In yeast, siRNAs are necessary for the formation of heterochromatin at the centromeres of chromosomes.
- An RNA transcript produced from DNA in the centromeric region of the chromosome is copied into double-stranded RNA by a yeast enzyme and then processed into siRNAs.
  - The siRNAs associate with a protein complex, targeting the complex back to the RNA sequences made from the centromeric sequences of DNA.
  - The proteins in the complex recruit enzymes to modify the chromatin, turning it into the highly condensed centromeric heterochromatin.
- A newly discovered class of small ncRNAs, called piwi-associated RNAs (piRNAs) also induce formation of heterochromatin, blocking expression of parasitic DNA elements in the genome known as transposons.
  - piRNAs, 24–31 nucleotides in length, are processed from single-stranded RNA precursors.
  - In germ cells of many animal species, piRNAs help re-establish appropriate methylation patterns in the genome during gamete formation.
- Chromatin remodeling not only blocks expression of large regions of the chromosome; RNA-based mechanisms may also block the transcription of specific genes.
  - Some plant miRNAs have sequences that bind to gene promoters and can repress transcription; piRNAs can also block expression of specific genes.
  - In some cases, miRNAs and piRNAs activate gene expression.
- Small ncRNAs regulate gene expression at multiple steps and in many ways.
  - Extra levels of gene regulation may allow evolution of a higher degree of complexity of form.
  - An increase in the number of miRNAs encoded in the genomes of species may have allowed morphological complexity to increase over evolutionary time.
- A survey of species suggests that siRNAs evolved first, followed by miRNAs and later piRNAs, which are found only in animals.
  - While there are hundreds of types of miRNA, there appear to be many thousands of types of piRNAs, allowing the potential for very sophisticated gene regulation by piRNAs.
- Many ncRNAs play important roles in embryonic development, the ultimate example of an elaborate program of regulated gene expression.

### **Concept 18.4 A program of differential gene expression leads to the different cell types in a multicellular organism**

- In the development of most multicellular organisms, a single-celled zygote gives rise to cells of many different types.
  - Each type has a different structure and corresponding function.
  - Cells of different types are organized into tissues, tissues into organs, organs into organ systems, and organ systems into the whole organism.
- Thus, the process of embryonic development must give rise not only to cells of different types but also to higher-level structures arranged in a particular way in three dimensions.

#### ***A genetic program is expressed during embryonic development.***

- As a zygote develops into an adult organism, its transformation results from three interrelated processes: cell division, cell differentiation, and morphogenesis.
- Through a succession of mitotic cell divisions, the zygote gives rise to many cells.
  - Cell division alone would produce only a great ball of identical cells.
- During development, cells become specialized in structure and function, undergoing **cell differentiation**.
- Different kinds of cells are organized into tissues and organs.
- The physical processes that give an organism its shape constitute **morphogenesis**, the “creation of form.”
- Cell division, cell differentiation, and morphogenesis have their basis in cellular behavior.
  - Morphogenesis can be traced back to changes in the shape and motility of cells in the various embryonic regions.
  - The activities of a cell depend on the genes it expresses and the proteins it produces.
  - Because almost all cells in an organism have the same genome, differential gene expression results from differential gene regulation in different cell types.
- Why are different sets of activators present in different cell types?
- One important source of information early in development is the egg’s cytoplasm, which contains both RNA and proteins encoded by the mother’s DNA, distributed unevenly in the unfertilized egg.
- Maternal substances that influence the course of early development are called **cytoplasmic determinants**.
  - These substances regulate the expression of genes that affect the developmental fate of the cell.
  - After fertilization, the cell nuclei resulting from mitotic division of the zygote are exposed to different cytoplasmic environments.
  - The set of cytoplasmic determinants a particular cell receives helps determine its developmental fate by regulating expression of the cell’s genes during cell differentiation.
- The other important source of developmental information is the environment around the cell, especially signals impinging on an embryonic cell from nearby cells.
  - In animals, these signals include contact with cell-surface molecules on neighboring cells and the binding of growth factors secreted by neighboring cells.

- These signals cause changes in the target cells, a process called **induction**.
  - The molecules conveying these signals within the target cells are cell-surface receptors and other proteins expressed by the embryo's own genes.
  - The signal molecules send a cell down a specific developmental path by causing a change in its gene expression that eventually results in observable cellular changes.

*Cell differentiation is due to the sequential regulation of gene expression.*

- During embryonic development, cells become visibly different in structure and function as they differentiate.
- The earliest changes that set a cell on a path to specialization show up only at the molecular level.
  - Molecular changes in the embryo drive the process, called **determination**, which leads to the observable differentiation of a cell.
- Once it has undergone determination, an embryonic cell is irreversibly committed to its final fate.
  - If a determined cell is experimentally placed in another location in the embryo, it will differentiate as if it were in its original position.
- The outcome of determination—observable cell differentiation—is caused by the expression of genes that encode *tissue-specific proteins*.
  - These proteins give a cell its characteristic structure and function.
- Differentiation begins with the appearance of cell-specific mRNAs and is eventually observable in the microscope as changes in cellular structure.
- In most cases, the pattern of gene expression in a differentiated cell is controlled at the level of transcription.
- Cells produce the proteins that allow them to carry out their specialized roles in the organism.
  - For example, liver cells specialize in making albumin, while lens cells specialize in making crystalline.
  - Skeletal muscle cells have high concentrations of proteins specific to muscle tissues, such as a muscle-specific version of the contractile proteins myosin and actin, as well as membrane receptor proteins that detect signals from nerve cells.
- Muscle cells develop from embryonic precursors that have the potential to develop into a number of alternative cell types.
  - Although the committed cells are unchanged, they are now *myoblasts*.
  - Eventually, myoblasts begin to synthesize muscle-specific proteins and fuse to form mature, elongated, multinucleate skeletal muscle cells.
- Researchers have worked out the events at the molecular level that lead to muscle cell determination by growing myoblasts in culture and analyzing them with molecular biology techniques.
  - Researchers isolated different genes, caused each to be expressed in a separate embryonic precursor cell, and looked for differentiation into myoblasts and muscle cells.
  - They identified several “master regulatory genes” that, when transcribed and translated, commit the cells to become skeletal muscle.

- One of these master regulatory genes is called *myoD*.
  - *myoD* encodes MyoD protein, a transcription factor that binds to specific control elements in the enhancers of various target genes and stimulates their expression.
  - Some target genes for MyoD encode for other muscle-specific transcription factors.
  - MyoD also stimulates expression of the *myoD* gene itself, helping to maintain the cell's differentiated state.
- All the genes activated by MyoD have enhancer control elements recognized by MyoD and are thus coordinately controlled.
- The secondary transcription factors activate the genes for proteins such as myosin and actin to confer the unique properties of skeletal muscle cells.
- The MyoD protein is capable of changing fully differentiated fat and liver cells into muscle cells.
- Not *all* cells can be transformed by MyoD, however.
  - Nontransforming cells may lack a *combination* of regulatory proteins in addition to MyoD.

***Pattern formation sets up the embryo's body plan.***

- Cytoplasmic determinants and inductive signals contribute to **pattern formation**, the development of spatial organization in which the tissues and organs of an organism are all in their characteristic places.
- Pattern formation begins in the early embryo, when the major axes of an animal are established.
- Before specialized tissues and organs form, the relative positions of a bilaterally symmetrical animal's three major body axes (anterior-posterior, dorsal-ventral, right-left) are established.
- The molecular cues that control pattern formation, **positional information**, are provided by cytoplasmic determinants and inductive signals.
  - These signals tell a cell its location relative to the body axes and to neighboring cells and determine how the cell and its progeny will respond to future molecular signals.
- Studies of pattern formation in *Drosophila melanogaster* have established that genes control development and have identified the key roles of specific molecules in defining position and directing differentiation.
- Combining anatomical, genetic, and biochemical approaches in the study of *Drosophila* development, researchers have discovered developmental principles common to many other species, including humans.
- Fruit flies and other arthropods have a modular construction.
  - An ordered series of segments make up the three major body parts: the head, thorax (with wings and legs), and abdomen.
- Cytoplasmic determinants in the unfertilized egg provide positional information for two developmental axes (anterior-posterior and dorsal-ventral axis) before fertilization.
- The *Drosophila* egg develops in the female's ovary, surrounded by ovarian cells called nurse cells and follicle cells that supply the egg cell with nutrients, mRNAs, and other substances.
- During fruit fly development, the egg forms a segmented larva, which goes through three larval stages.

- The fly larva forms a pupal cocoon within which it metamorphoses into an adult fly.
- In the 1940s, Edward B. Lewis used mutants to investigate *Drosophila* development.
  - Bizarre developmental mutations were on the fly's genetic map, providing the first concrete evidence that genes somehow direct the developmental process.
  - These **homeotic genes** control pattern formation in the late embryo, larva, and adult.
- In the late 1970s, Christiane Nüsslein-Volhard and Eric Wieschaus set out to identify *all* the genes that affect segmentation in *Drosophila*. They faced three problems.
- First, because *Drosophila* has about 13,700 genes, there could be either only a few genes affecting segmentation or so many that the pattern would be impossible to discern.
- Second, mutations that affect segmentation are likely to be **embryonic lethals**, leading to death at the embryonic or larval stage.
  - Flies with embryonic lethal mutations never reproduce, and cannot be bred for study.
  - Nüsslein-Volhard and Wieschaus focused on recessive mutations that could be propagated in heterozygous flies.
- Third, because of maternal effects on axis formation in the egg, the researchers also needed to study maternal genes.
- After exposing flies to mutagenic chemicals, Nüsslein-Volhard and Wieschaus looked for dead embryos and larvae with abnormal segmentation.
  - Through appropriate crosses, they found heterozygotes carrying embryonic lethal mutations.
- Nüsslein-Volhard and Wieschaus identified 1,200 genes essential for embryonic development.
  - About 120 of these were essential for normal segmentation.
- The researchers grouped the genes by general function, mapped them, and cloned many of them.
- In 1995, Nüsslein-Volhard, Wieschaus, and Lewis were awarded a Nobel Prize.

***Gradients of maternal molecules in the early Drosophila embryo control axis formation.***

- Cytoplasmic determinants produced under the direction of maternal effect genes are deposited in the unfertilized egg.
- A **maternal effect gene** is a gene that, when mutant in the mother, results in a mutant phenotype in the offspring, regardless of the offspring's own genotype.
  - In fruit fly development, maternal effect genes encode proteins or mRNA that are placed in the egg while it is still in the ovary.
  - When the mother has a mutation in a maternal effect gene, she makes a defective gene product (or none at all) and her eggs will not develop properly when fertilized.
- Maternal effect genes are also called **egg-polarity genes** because they control the orientation of the egg and consequently the fly.
  - One group of genes sets up the anterior-posterior axis, while a second group establishes the dorsal-ventral axis.
- One gene called *bicoid* affects the front half of the body.

- An embryo whose mother has a mutant *bicoid* gene lacks the front half of its body and has duplicate posterior structures at both ends.
  - This suggests that the product of the mother's *bicoid* gene is essential for setting up the anterior end of the fly and might be concentrated at the future anterior end.
- This is a specific version of the *morphogen gradient hypothesis*, in which gradients of **morphogens** establish an embryo's axes and other features.
- Using DNA technology and biochemical methods, researchers were able to clone the *bicoid* gene and use it as a probe for *bicoid* mRNA in the egg.
  - As predicted, the *bicoid* mRNA is concentrated at the extreme anterior end of the egg cell.
- After the egg is fertilized, *bicoid* mRNA is transcribed into protein, which diffuses from the anterior end toward the posterior, resulting in a gradient of proteins in the early embryo.
  - Injections of pure *bicoid* mRNA into various regions of early embryos resulted in the formation of anterior structures at the injection sites.
- The *bicoid* research is important for three reasons.
  1. It identified a specific protein required for some of the earliest steps in pattern formation.
  2. It increased our understanding of the mother's role in the development of an embryo.
  3. It demonstrated a key developmental principle: a gradient of molecules can determine polarity and position in the embryo.
- Maternal mRNAs are crucial during development of many species.
  - In *Drosophila*, gradients of specific proteins encoded by maternal mRNAs determine the posterior and anterior ends and establish the dorsal-ventral axis.
- Later, positional information encoded by the embryo's genes establishes a specific number of correctly oriented segments and triggers the formation of each segment's characteristic structures.

### **Concept 18.5 Cancer results from genetic changes that affect cell cycle control**

- Cancer is a set of diseases in which cells escape the control mechanisms that normally regulate cell growth and division.
  - The gene regulation systems that go wrong during cancer are the systems that play important roles in embryonic development and immune response.
- The genes that normally regulate cell growth and division during the cell cycle include genes for growth factors, their receptors, and the intracellular molecules of signaling pathways.
  - Mutations altering any of these genes in somatic cells can lead to cancer.
  - The agent of such changes can be random spontaneous mutations or environmental influences such as chemical carcinogens, X-rays, and some viruses.

#### ***Proto-oncogenes can become oncogenes, contributing to the development of cancer.***

- Cancer-causing genes, **oncogenes**, were initially discovered in viruses.
  - Close counterparts have been found in the genomes of humans and other animals.

- Normal versions of cellular genes, called **proto-oncogenes**, code for proteins that stimulate normal cell growth and division.
- A proto-oncogene becomes an oncogene following genetic changes that lead to an increase in the proto-oncogene's protein production or in the intrinsic activity of each protein molecule.
  - These genetic changes include movement of DNA within the genome, amplification of the proto-oncogene, and point mutations in a control element or the proto-oncogene itself.
- Cancer cells frequently have chromosomes that have been broken and rejoined incorrectly.
  - A fragment may be moved to a location near an active promoter or other control element.
- Amplification increases the number of copies of the proto-oncogene in the cell.
- A point mutation in the promoter or enhancer of a proto-oncogene may increase its expression.
- A point mutation in the coding sequence may lead to translation of a protein that is more active or longer-lived.
- All of these mechanisms can lead to abnormal stimulation of the cell cycle, putting the cell on the path to malignancy.

***Mutations to tumor-suppressor genes may contribute to cancer.***

- The normal products of **tumor-suppressor genes** *inhibit* cell division.
- Some tumor-suppressor proteins normally repair damaged DNA, preventing the accumulation of cancer-causing mutations.
- Other tumor-suppressor proteins control the adhesion of cells to each other or to an extracellular matrix, which is crucial for normal tissues and often absent in cancers.
- Still others are components of cell-signaling pathways that inhibit the cell cycle.
  - Decreases in the normal activity of a tumor-suppressor protein may contribute to cancer.
- The proteins encoded by many proto-oncogenes and tumor-suppressor genes are components of cell-signaling pathways.
- Mutations in the products of two key genes, the *ras* proto-oncogene and the *p53* tumor-suppressor gene, occur in 30% and over 50% of human cancers, respectively.
- The Ras protein, the product of the ***ras* gene**, is a G protein that relays a growth signal from a growth factor receptor on the plasma membrane to a cascade of protein kinases.
  - At the end of the pathway is the synthesis of a protein that stimulates the cell cycle.
- Many *ras* oncogenes have a point mutation that leads to a hyperactive version of the Ras protein that trigger the kinase cascade in the absence of growth factor, resulting in excessive cell division.
- The ***p53* gene**, named for its 53,000-dalton protein product, is a tumor-suppressor gene.
  - The p53 protein is a specific transcription factor for the synthesis of several cell cycle-inhibiting proteins.
  - The *p53* gene has been called the “guardian angel of the genome.”
- Once activated by DNA damage, the p53 protein functions as an activator for several genes.
  - The p53 protein can activate the *p21* gene, whose product halts the cell cycle by binding to cyclin-dependent kinases, allowing time for DNA repair.

- p53 also activates expression of a group of miRNAs, which inhibit the cell cycle.
- The p53 protein can also turn on genes directly involved in DNA repair.
- When DNA damage is irreparable, the p53 protein can activate “suicide genes” whose protein products cause cell death by apoptosis.
- A mutation that knocks out the *p53* gene can lead to excessive cell growth and cancer.

***Multiple mutations underlie the development of cancer.***

- More than one somatic mutation is generally needed to produce the changes characteristic of a full-fledged cancer cell.
- If cancer results from an accumulation of mutations, and if mutations occur throughout life, then the longer we live, the more likely we are to develop cancer.
- Colorectal cancer, with 135,000 new cases and 60,000 deaths in the United States each year, illustrates a multistep cancer path.
  - The first sign is often a polyp, a small benign growth in the colon lining.
  - The cells of the polyp look normal but divide unusually frequently.
  - Through gradual accumulation of mutations that activate oncogenes and knock out tumor-suppressor genes, the polyp can develop into a malignant tumor.
  - A *ras* oncogene and a mutated *p53* tumor-suppressor gene are usually involved.
- About a half dozen DNA changes must occur for a cell to become fully cancerous.
- These changes usually include the appearance of at least one active oncogene and the mutation or loss of several tumor-suppressor genes.
  - Because mutant tumor-suppressor alleles are usually recessive, mutations must knock out *both* alleles.
  - Most oncogenes behave like dominant alleles and require only one mutation.

***Cancer can run in families.***

- The fact that multiple genetic changes are required to produce a cancer cell helps explain the predispositions to cancer that run in families.
  - An individual inheriting an oncogene or a mutant allele of a tumor-suppressor gene is one step closer to accumulating the necessary mutations for cancer to develop.
- Geneticists are devoting much effort to finding inherited cancer alleles so that a predisposition to certain cancers can be detected early in life.
- About 15% of colorectal cancers involve inherited mutations.
- Many of these mutations affect the tumor-suppressor gene *adenomatous polyposis coli* or *APC*.
  - Normal functions of the *APC* gene include regulation of cell migration and adhesion.
  - Even in patients with no family history of the disease, *APC* is mutated in about 60% of colorectal cancers.
- Between 5% and 10% of breast cancer cases show an inherited predisposition.
  - Breast cancer is the second most common type of cancer in the United States, annually striking more than 180,000 women and leading to 40,000 deaths.

- Mutations in one gene, *BRCA1*, increase the risk of breast and ovarian cancer.
  - Mutations in *BRCA1* and the related gene *BRCA2* are found in at least half of inherited breast cancers.
- A woman who inherits one mutant *BRCA1* allele has a 60% probability of developing breast cancer before age 50 (versus a 2% probability in an individual with two normal alleles).
  - Both *BRCA1* and *BRCA2* are considered tumor-suppressor genes because their wild-type alleles protect against breast cancer and their mutant alleles are recessive.
- *BRCA1* and *BRCA2* proteins function in the cell's DNA damage repair pathway.
  - *BRCA2*, in association with another protein, helps repair breaks that occur in both strands of DNA.
- Because DNA breakage can contribute to cancer, the risk of cancer can be lowered by minimizing exposure to DNA-damaging agents, such as ultraviolet radiation in sunlight and the chemicals found in cigarette smoke.
- In addition to mutations and other genetic alterations, a number of tumor viruses can cause cancer in various animals, including humans.
  - In 1911, Peyton Rous, an American pathologist, discovered a virus that causes cancer in chickens.
  - The Epstein-Barr virus, which causes infectious mononucleosis, has been linked to several types of cancer in humans, notably Burkitt's lymphoma.
  - Papillomaviruses are associated with cancer of the cervix, and a virus called HTLV-1 causes a type of adult leukemia.
- Worldwide, viruses seem to play a role in about 15% of the cases of human cancer.
- Viruses can interfere with gene regulation in several ways if they integrate their genetic material into a cell's DNA.
  - Viral integration may donate an oncogene to the cell, disrupt a tumor-suppressor gene, or convert a proto-oncogene to an oncogene.
  - Some viruses produce proteins that inactivate p53 and other tumor-suppressor proteins, making the cell more likely to become cancerous.